

Regd. Office : GNA HOUSE, I-C, CHHOTI BARADARI - PART-II
GARHA ROAD, JALANDHAR- 144 404
Phones : 0181-4630477
Fax : 0181-4630477
E-mail : info@gnagroup.com
Website : www.gnagroup.com

Dated :09/08/2022

Department of Corporate Services BSE Limited 25 th Floor Rotunda Building, P J Towers Dalal Street, Fort MUMBAI 400001 SCRIP CODE : 540124	The National Stock Exchange of India Limited "Exchange Plaza" Plot No C-1, G Block Bandra Kurla Complex Bandra (East) MUMBAI – 400051 SCRIP CODE : GNA
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COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED JUNE 2022 (Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations,2015)

Sir,

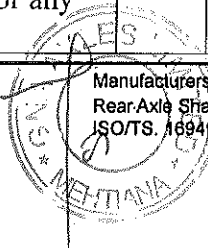
I, Gaurav Jain, Compliance Officer, have examined the following compliance requirement of GNA Axles Limited and certify that the Company has maintained a Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations):

S.No	Compliance Requirement	Yes / No	Observation / remark
1	Whether the Company has a Structured Digital Database in place?	YES	NA
2	Whether control exists as to who can access the SDD for read/ write alongwith the names and PAN of such person?	YES	NA
3	Whether all the UPSI had been captured in the Database. If not details of events that had not been captured and the reason for the same?	YES	NA
4	Whether the recipients were upfront informed that the information which they will be receiving shortly is UPSI and the entry has been captured in the Database prior to forwarding the UPSI data. If not details of events that have not been captured and the reason for the same?	YES	NA
5	Whether nature of UPSI have been captured alongwith date and time?	YES	NA
6	Whether name of persons who have shared the information has been captured along with PAN or any other identifier?	YES	NA

CIN : L29130PB1993PLC013684

Works : UNIT-I, VPO MEHTIANA, DISTT. HOSHIARPUR
UNIT-II, VILLAGE GULABGARH, JATTAN DISTT. KAPURTHALA
Phones : 01882-262273 (7 Lines)
Fax : 01882-262260, 262302

Manufacturers of :
Rear Axle Shafts, Other Shafts and Spindles
ISO/TS. 16949/2009



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7	Whether name of persons with whom information is shared has been captured along with PAN or any other identifier?	YES	NA
8	Whether the database has been maintained internally?	YES	NA
9	Whether audit trail is maintained?	YES	NA
10	Whether time stamping is maintained?	YES	NA
11	Whether the database is non-tamperable?	YES	NA
12	Any other measures to ensure non-tamperability of the Database?	YES	NA

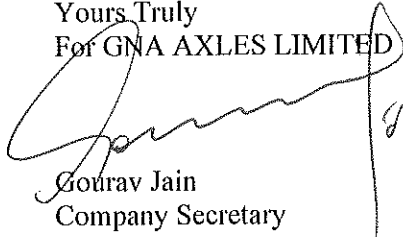
The number of days for which non-compliance was observed: NIL

Further I also confirm that the Company was required to capture 2 number of events during the quarter ended 30.06.2022 and has captured 2 number of the said required events

This is for your information and record.

Thanking You

Yours Truly
For GNA AXLES LIMITED


Gourav Jain
Company Secretary

